## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SARLET et al.

Docket No.:

00980.1131-US-U1

Serial No.:

To Be Assigned

Filed:

September 18, 2003

Title:

METHOD FOR CHARACTERIZING TUNABLE LASERS

CERTIFICATE UNDER 37 C.F.R. 1.10:

'Express Mail' mailing number: EV 314777067 US

Date of Deposit: September 18, 2003

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.§1.97(b)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This Information Disclosure Statement is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC Customer No. 22865

Date: September 18, 2003

By:

lain A. McIntyre Reg. No. 40,337

IAM/vlb

<b>INFORMATION</b>	<b>DISCLOSURE</b>	<b>STATEMENT</b>
	PTO Form 1449	

Docket Number Serial Number 00980.1131-US-U1 To be assigned Applicant(s) SARLET et al. Filing Date 09/18/2003 Group Art Unit

Unknown

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING (IF APPRO	DATE PRIATE
		5,621,828	04/15/97	Baets et al.				
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EXAMINER INITIALS	REF		DATE	COUNTRY		SUB-CLASS	TRANSL YES	ATION NO
EXAMINER INITIALS	REF	WO 99/40654	T			SUB-CLASS		
EXAMINER INITIALS	REF		<b>DATE</b> 08/12/99	COUNTRY		SUB-CLASS		
EXAMINER INITIALS	REF	WO 99/40654	<b>DATE</b> 08/12/99	COUNTRY		SUB-CLASS		
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EXAMINER INITIALS	REF	WO 99/40654	<b>DATE</b> 08/12/99	COUNTRY		SUB-CLASS		
EXAMINER INITIALS	REF	WO 99/40654	08/12/99 04/19/01	COUNTRY		SUB-CLASS		
EXAMINER INITIALS	REF	WO 99/40654	08/12/99 04/19/01	COUNTRY WIPO WIPO		SUB-CLASS		
EXAMINER	REF	WO 99/40654	08/12/99 04/19/01	COUNTRY WIPO WIPO		SUB-CLASS		
EXAMINER INITIALS	REF	WO 99/40654	08/12/99 04/19/01	COUNTRY WIPO WIPO		SUB-CLASS		

Examiner:	Date Considered: